



Financial Assistance Award

DENALI COMMISSION

510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414 (phone)
(907) 271-1415 (fax)
www.denali.gov

Project Number

0044-DC-2001-124

Project Title

Toksook Bay Sub-Regional Clinic –
Conceptual Design

Performance Period

April 1, 2001 – March 31, 2005

Recipient Name & Address

Yukon-Kuskokwim Health Corporation
PO Box 528
Bethel, AK 99559

Authority

112 Stat 1854

CFDA Number

90.100

Denali Commission Finance
Officer Certification

CCE

Phone: (907) 543-6000

Fax: (907)

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
9567000	\$50,000	\$0	\$50,000
			\$0
			\$0
			\$0
Total	\$50,000	\$0	\$50,000

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☒ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a133/a133.html)

Administrative Requirements (check one)

- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)

Cost Principles (check one)

- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- ☒ OMB Circular A-122, Cost Principles for Nonprofit Organizations
(www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions
(www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

~~2/04/02~~
2/25/02

Signature of Authorized Official

Typed Name and Title

Gene Peltola, President

Date

Conditions to the Financial Assistance Award
Project No. 0044-DC-2001-I24
Project Title: Toksook Bay Sub-Regional Clinic – Conceptual Design
Project Recipient: Yukon-Kuskokwim Health Corporation

1. The scope of work for this Financial Assistance Award is as follows: further development of a conceptual design for the proposed site for the Yukon-Kuskokwim Health Corporation (YKHC) Sub-regional clinic in Toksook Bay. A conceptual floor plan has already been developed by YKHC for this facility. The intent of this funding is to complete site development plans (utility extensions and connections, road and foundation pad design, site control and surveying, etc.) to accommodate the clinic.

Total Commission funding to YKHC is \$50,000. Total project funding is \$50,000. The estimated costs for this Award follow.

Item No.	Description	Estimated Cost	Comments
1	Conceptual Plans – Site Development	\$50,000	
2	Indirect	\$0	
	TOTAL	\$50,000	

All Commission funding is intended for use for the scope of work specified above only. No interest will be accrued on these funds.

In the event there is a balance of funding after the full scope of work is completed, then the Commission (in consultation with YKHC) will determine how the excess funds will be allocated. The final decision on how excess funds are used is a Commission decision, and may include withdrawing excess funds for reallocation to other Commission projects. The YKHC will return any unexpended project funds (based upon pro rata project contributions) to the Commission at the end of the one-year project warranty period. In the event the project cannot be initiated for any reason; the Commission reserves the right to cancel the project.

2. The Project Officer for the YKHC is Mr. Tom Humphrey and for the Denali Commission Mr. Joel Neimeyer.
3. The YKHC shall submit written progress reports to the Commission Project Officer quarterly (March/June/September/December). The reports are due within 30 calendar days of the end of the quarter. The YKHC (through the use of Interim Reports) will inform the Commission at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions. This will allow both the YKHC and the Commission to pursue supplemental financing on a timely basis, or reduce the project scope to fall within the Commission project financing capabilities. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following

questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.

The YKHC shall report annually the percentage of total funds (from the Commission and other sources) received used for planning, design and construction of rural primary care facilities. In addition, this annual report will include a breakdown on how these funds were used: force account construction (including local labor wages paid, rural Alaska wages paid, and total project wages paid), and construction contracting (including local labor wages paid, rural wages paid and total project wages paid).

These quarterly and annual progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410
Anchorage, AK 99501

The Commission reserves the right, at a future date, to direct the YKHC to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.
 - A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). For each project that includes funding from the Denali Commission, the quarterly financial status report shall show the following:
 - i. the total project budget
 - ii. the total amount of Denali Commission funds committed to the project
 - iii. the total project expenditures as of the end of the most recent quarter
 - iv. the total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
 - v. the percentage of expenditures to the total budget; and
 - vi. a project performance analysis on project line items, as defined by the YKHC, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).
 - B. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the YKHC must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.

5. To receive payment, the YKHC must submit a "Request for Advance or Reimbursement", Standard Form-270 (www.whitehouse.gov/OMB/grants/index.html#forms), to the Commission Project Officer. It is expected that the YKHC will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for the project. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission reserves the right to prohibit further payments to the YKHC, at any time, if discrepancies, until such time that the discrepancies are resolved to the satisfaction of the Commission.
6. To the maximum extent feasible, considering applicable laws, the YKHC shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.
7. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
8. Based upon proposed Federal funding for this project, project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required. The YKHC shall address all potential environmental concerns (specific and cumulative effects) associated with the projects, identified in this Agreement, in consultation with applicable Federal, State, and local authorities. If the scope of work is changed in the future, then the YKHC will revisit the project level environmental reviews to determine if potential environmental concerns must be further addressed. In the interest of streamlining the project level environmental reviews and avoid duplication of effort, any other Federal environmental review process carried out by the YKHC and approved by other Federal agencies shall meet the intent of this paragraph.
9. Changes to the Financial Assistance Award can take two forms.

Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-11). The Commission Federal Co-Chair and the designated representative for the YKHC shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. In general, Amendments are used for changes in funding from the Commission and/or other sources to the total project amount.

Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for the YKHC.

Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-11). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope. These addendums shall include a scope of work, negotiated cost reimbursement, a method to measure completion of work, and lastly payment method.

10. **Project Management Plan:** YKHC will manage the planning phase of the project through a contract for project management services with an independent contractor or by in-house staff. The contract project manager will oversee all necessary sub-contracts (e.g. geo-technical and survey services, environmental assessment services, and conceptual design services).
11. The YKHC has a pass-through indirect rate of 0%, which will be charged to this project. There will be no additional administrative fees.
12. **Project Schedule:**

The project will begin November 1, 2001 and is scheduled to be completed February 27, 2002.

The intent of this phase is to finalize the site selection and establish site control, in preparation for design and construction of the Sub-Regional Clinic. At the end of this phase of the project all requirements for development of the site will have been met and sufficient design and cost information will be available to prepare an accurate application for additional funding to complete the project design and construction.